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Scov #3

566.39850X00

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Y. IKEDA, et al

Serial No.: 09/800,494

Filing Date: March 8, 2001

For: METHOD, SYSTEM AND STORAGE MEDIUM FOR ASSET SECURITIZATION, AND COMPUTER PROGRAM PRODUCT

Art Unit: Not yet assigned

Attention: Box Missing Parts

SUBMISSION OF DECLARATION UNDER 37 CFR §1.63

Assistant Commissioner
for Patents
Washington, D.C. 20231

May 22, 2001

Sir:

In the matter of the above-identified application, which was originally filed on March 8, 2001 without a Declaration, and responsive to the Notice to File Missing Parts of Application Filing Date Granted dated April 9, 2001, please find attached hereto a Declaration for submission under 37 CFR §1.63, executed by each of the inventors in the above-identified application.

A Credit Card Payment Form authorizing the requisite \$130.00 fee is attached. Should any additional fees become due in connection with the filing of this Declaration, please charge Deposit Account No. 01-2135 (Case No. 566.39850X00).

Respectfully submitted,

Carl I. Brundidge
Registration No. 29,621
ANTONELLI, TERRY, STOUT & KRAUS, LLP

CIB/jdc
Enclosures
703/312-6600

566.39850X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Y. IKEDA, et al

Serial No.: 09/800,494

Filing Date: March 8, 2001

For: METHOD, SYSTEM AND STORAGE MEDIUM FOR ASSET
SECURITIZATION, AND COMPUTER PROGRAM PRODUCT

Art. Unit: Not yet assigned

SUBMISSION OF SUBSTITUTE SPECIFICATION

Assistant Commissioner
for Patents
Washington, D.C. 20231

May 21, 2001

Sir:

Attached please find a substitute specification for the above-referenced application. The attached substitute specification is being submitted to comply with the Notice to File Corrected Parts of Nonprovisional Application wherein the United States Patent and Trademark Office indicated that the margins are improper.

Please note that the attached substitute specification does not contain any new matter.

It is respectfully requested that any shortage in the fees be charged to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (Case No. 566.39850X00).

Respectfully submitted,



Carl I. Brundidge
Registration Number: 29,621
ANTONELLI, TERRY, STOUT & KRAUS, LLP

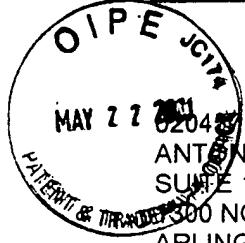
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(703) 312-6600



UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,494	03/08/2001	Yuichi Ikeda	566.39850X00



ANTONELLI TERRY STOUT AND KRAUS
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 ARLINGTON, VA 22209

CONFIRMATION NO. 2193
 FORMALITIES LETTER



OC000000005950661

Date Mailed: 04/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE